



ADMINISTRATION FOR
CHILDREN & FAMILIES

Office of Community Services | 330 C Street, S.W., Washington, DC 20201
www.acf.hhs.gov/ocs

Community Services Block Grant (CSBG) CARES Act Supplemental State Plan

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 21 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

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Section 1: CSBG Administrative Information

For the purposes of the CARES Act, the Office of Community Services is accepting an abbreviated State Plan as a supplement to provide additional information to the Federal Fiscal Year (FFY) 2020 CSBG State Plan.

1.1. Identify the Submission Date of your FFY2020 CSBG State Plan: [Date Picker]

Note: This information can be found on the Report Status Page of your most recently submitted CSBG State Plan.

If you submitted a two-year plan in FFY2019, please provide that date.

Response: August 28, 2019

If you submitted a plan in FFY2020, please provide that date.

1.2. Lead Agency: Update the following information in relation to the lead agency designated to administer CSBG in the state, as required by Section 676(a) of the CSBG Act. Information should reflect the responses provided in the Application for Federal Assistance, SF-424M.

Has information in regards to the state lead agency changed since the last submission of the state plan? Yes No

1.2a. Lead agency [Narrative, 150 Characters]

Texas Department of Housing and Community Affairs

1.2 b. Cabinet or administrative department of this lead agency [Check One and narrative where applicable]

- Community Affairs Department
- Community Services Department
- Governor's Office
- Health Department
- Housing Department
- Human Services Department
- Social Services Department
- Other, describe: **[Narrative, 100 characters]**

1.2c. Cabinet or Administrative Department Name: Provide the name of the cabinet or administrative department of the CSBG authorized official **[Narrative, 100 Characters]**

Response: Texas Department of Housing and Community Affairs

1.2d. Authorized official of the lead agency. The authorized official could be the director, secretary, commissioner etc. as assigned in the designation letter

(attached under item 1.3.). The authorized official is the person indicated as authorized representative on the SF-424M. **[Narrative, 50 Characters each]**

Name: Robert Wilkinson

Title: Executive Director

1.2e. Street Address **[Narrative, 200 characters]**

221 East 11th Street

1.2f. City **[Narrative, 50 characters]**

Austin

1.2g. State **[Dropdown]**

TX

1.2h. Zip Code **[Numerical Response, 5 digits]** 78711

1.2i. Work Telephone Number and Extension (if applicable) **[Numerical Response, 10 – 15 digits to include extensions]** 512-475-3296

1.2j. Fax Number **[Numerical Response, 10 digits]**

512-475-4624

1.2k. Email Address **[Narrative, 150 characters]**

bobby.wilkinson@tdhca.state.tx.us

1.2l. Lead Agency Website **[Narrative, 200 characters]** www.tdhca.state.tx.us

13. Designation Letter: Attach the state's official CSBG designation letter. A new designation letter is required if the chief executive officer of the state and/or designated agency has changed.

___ As the Authorized Official for CSBG, I confirm that there have been no changes within this state that will require a new designation letter, such as a change to the authorized official and/or authorized CSBG state lead agency.

14. CSBG Point of Contact: Provide the following information in relation to the designated state CSBG point of contact. The state CSBG point of contact should be the person that will be the main point of contact for CSBG within the state.

Has information regarding to the state point of contact changed since the last submission of the state plan? Yes No

1.4a. Agency Name **[Narrative, 150 characters]**

Texas Department of Housing and Community Affairs

1.4b. Point of Contact Name **[Narrative, 50 characters each]**

Name: Michael DeYoung Title: Director of Community Affairs

1.4c. Street Address **[Narrative, 200 characters]**

221 East 11th Street

1.4d. City **[Narrative, 50 characters]** Austin

1.4e. State **[Dropdown]** TX

- 1.4f.** Zip Code [**Numerical Response, 5 digits**] 78711
- 1.4g.** Work Telephone Number [**Numerical Response, 10 – 15 digits to include extensions**] 512-475-2125
- 1.4h.** Fax Number [**Numerical Response, 10 digits**]
512-475-3935
- 1.4i.** Email Address [**Narrative, 150 characters**]
michael.deyoung@tdhca.state.tx.us
- 1.4j.** Agency Website [**Narrative, 200 characters**]
www.tdhca.state.tx.us

Section 2: State Legislation and Regulation

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 2 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan).

2.1 Emergency Legislation or Regulation (Optional): If applicable, please describe any special state legislation or regulation that directly impacts the implementation of CSBG CARES supplemental funding, including any emergency procedures to amend any existing legislation or regulation described in the accepted FFY2020 CSBG State plan (as dated in Section 1 of this state plan).

- No, there is no special state legislation or regulation that directly impacts the implementation of CSBG CARES supplemental funding.
- Yes, there is special state legislation or regulation that directly impacts the implementation of CSBG CARES supplemental funding as described below: **[Narrative, 3000 characters]**

Section 3: State Plan Development and Statewide Goals

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 3 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan).

The following additional information is requested for CSBG CARES supplemental funding.

- 3.1. State Plan Goals:** Describe the state’s specific goals for state administration of CSBG as it directly relates to the CSBG CARES funding. **[Narrative, 3000 characters]**

Goals:

Objective: To ease the hardship of poverty caused by COVID-19 by administering the CARES funds through a Network of Eligible Entities.

Measures:

1. Initiate CSBG CARES contracts with eligible entities 30 days of receipt of award letter from federal funding agency.
2. Review CSBG CARES Monthly Expenditure Reports within 3-5 working days of receipt of report and notify subrecipient of any needed changes.
3. Provide fund advance and reimbursement to CSBG CARES eligible entities within 7-10 working days -45 days of Department’s approval of Expenditure Report.
4. Provide timely and accurate program technical assistance and training to CSBG CARES subrecipient eligible entities. Responses to technical assistance questions will be provided within 1-3 working days of submission of question.
5. On at least a quarterly basis, review CSBG CARES subrecipient eligible entities Expenditure and Performance Reports to determine activity and assess need for technical assistance and ensure that households in need of assistance are being reached and served.

Note: For examples of “goals,” see State Accountability Measure 1Sa(i).

- 3.2. Eligible Entity Involvement:** Describe the specific steps the state took in developing the CSBG CARES Supplemental State Plan to involve the eligible entities. **[Narrative, 3000 Characters]**

The draft CSBG CARES Supplemental State Plan (initial Draft Plan) was provided to eligible entities on July 27, 2020, and eligible entities were provided three days to submit input. This step was taken prior to the general public comment period from August 4, 2020, through August 17, 2020, in which the eligible entities had another opportunity to provide comment. On September 3, 2020, revisions to the use of the CSBG CARES discretionary funds portion of the Plan were made. The revised plan was posted on the Department’s website on September 4, 2020. The opportunity for subsequent public comment was available from September 4, 2020 through September 14, 2020. The Department sent email notices to all stakeholders on September 4, 2020, to include CSBG eligible entities, other Community Affairs Division subrecipients, and other interested parties, notifying them of the opportunity to submit comment on the revised plan by September 14, 2020.

Section 4: CSBG Hearing Requirements

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 4 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan).

The CSBG CARES Supplemental State Plan is considered to be a plan revision, consistent with Section 676(e) of the CSBG Act (Revisions and Inspection).

- 4.1. Public Inspection:** Describe how the CSBG CARES Supplemental State Plan has been made available for public inspection within the state to facilitate public review and comment. **[Narrative, 3000 characters].**

Note: For the purposes of this CSBG CARES Supplemental State Plan, a *public hearing is not required*. However, the state should notify eligible entities and other known stakeholders that this plan is available for a public review and comment, and post publicly, e.g., on the state’s public website, for a reasonable timeframe.

(Although, a reasonable timeframe is not specified in the statute, for purposes of the CSBG CARES Supplemental State Plan, OCS recommends a minimum of *10 business days*.)

The draft CSBG CARES Supplemental State Plan was posted on the Department’s website from August 4, 2020, through August 17, 2020, for comment. The Department also sent an email notice to all stakeholders to include CSBG eligible entities and other Community Affairs Division subrecipients notifying them of the opportunity to submit comment on the draft Plan by August 17, 2020. Persons unable to access a copy of the draft Plan on the Department’s website could notify the Department and request a copy of the Plan be mailed to them. On September 4, 2020, revisions to the use of the CSBG CARES discretionary funds portion of the Plan were made and the revised plan was posted on the Department’s website on September 4. The opportunity for subsequent public comment was available from September 4, 2020 through September 14, 2020. The Department sent email notices to all stakeholders on September 4, 2020, to include CSBG eligible entities, other Community Affairs Division subrecipients, and other interested parties notifying them of the opportunity to submit comment on the revised plan by September 14, 2020.

During the Department’s second public comment period of September 4, 2020, through September 14, 2020, comment was received by 11 CSBG eligible entities, one individual, and one joint letter from five State Representatives and one State Senator representing the El Paso legislative delegation. All commenters representing the CSBG eligible entities and the six elected officials recommend that the Department award the \$3.3 million in CSBG CARES discretionary funds specifically to Community Action Agencies (CAAs). Some of those comments still supported the use of the funds for an eviction diversion activity, some suggested rental assistance not limited to eviction diversion, and some preferred that the funds be used for general eligible uses under CSBG CARES.

All commenters felt that the existing CAA network has the experience and infrastructure to provide rental and eviction assistance services statewide, and that their organizations are anchored in tenets of transparency and accountability through their requirements to have a tripartite Board composition and to comply with the Texas Open Meetings Act and the Public Information Act. The commenters recommended that the discretionary funds be awarded to the CAAs through a discretionary application process with access provided to all areas of the state. Commenters also encouraged the Department to find a statewide policy solution that will allow CAAs to work directly with the Justice of the Peace courts to successfully implement eviction diversion programs for all counties across Texas. The one individual comment received was relating to usage of the symbol for percent and the word 'percentage' throughout the document.

The Department appreciates the comments submitted and has taken into consideration the comments provided. At the Board meeting of September 3, 2020, the TDHCA Board approved the plan to use 7% of the CSBG CARES funds for a pilot eviction diversion program in response to a request from the State Supreme Court, Office of Court Administration, and the Office of the Governor. In that approval, the condition was that if the pilot eviction diversion program was not successfully implemented by January 31, 2021, *and no eligible emergency use has been identified*, then those funds would be distributed to those CSBG eligible entities most efficiently utilizing their CSBG CARES funds based on expenditure rates. No changes have been made to the draft plan in relation to the comments received.

The state is intending to implement a broader statewide eviction diversion program with its Community Development Block Grant CARES (CDBG-CV) funds, so the interest in ensuring statewide access to the eviction diversion resources will be addressed through that program. Using the CSBG discretionary funds as an initial pilot allows the Department and the Office of Court Administration to award funds to a variety of subrecipient types to evaluate specifically which are the most effective at working with the court system. The Department agrees that CAAs are often an excellent conduit for serving households in need with rental assistance, and of the organizations identified to receive funds under the pilot program, many offered the opportunity will also be CSBG eligible entities.

Section 5: CSBG Eligible Entities

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 5 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan).

- As the Authorized Official for CSBG, I confirm that there are **no changes** to the CSBG Eligible Entities as submitted in Section 5 of the most recently submitted CSBG State Plan (as dated in Section 1).
- As the Authorized Official for CSBG, I confirm that **yes, there were changes** to the CSBG Eligible Entities as submitted in Section 5 of the most recently submitted CSBG State Plan (as dated in Section 1).

If there were changes to the CSBG Eligible Entity list, please update within Section 7.2 of this State Plan accordingly:

Designation and Re-Designation: add at the end of the table

N/A

De-Designations and Voluntary Relinquishments: do not remove the entity. Rather just add zero to the appropriate line.

N/A

Mergers: Do not remove the eligible entities. Rather just add zero to the appropriate line.

OCS reserves the opportunity to request more information at a later date.

5.1 CSBG Eligible Entities: In the table below, indicate whether eligible entity in the state public or private, the type(s) of entity, and the geographical area served by the entity.

Note: Whether nonprofit or public, entities that receive CSBG funds are generally considered to be Community Action Agencies for the purpose of administering CSBG. The only specific exceptions outlined in the CSBG Act are Limited Purpose Agencies, Migrant and

Seasonal Farmworker organizations, and Tribes and Tribal Organizations

Instructional Note: Limited Purpose Agency refers to an eligible entity that was designated as a limited purpose agency under Title II of the Economic Opportunity Act of 1964 for fiscal year 1981, that served the general purposes of a community action agency under Title II of the Economic Opportunity Act, that did not lose its designation as a limited purpose agency under Title II of the Economic Opportunity Act as a result of failure to comply with that Act and that has not lost its designation as an eligible entity under the CSBG Act.

Instructional Note: 90 percent funds are the funds a state provides to eligible entities to carry out the purposes of the CSBG Act, as described under Section 675C of the CSBG Act. A state must provide “no less than 90 percent” of their CSBG allocation, under Section 675B, to the eligible entities.

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
Aspermont Small Business Development Center, Inc.	Haskell, Jones, Kent, Knox, Stonewall, Throckmorton	Non-Profit	Community Action Agency
Big Bend Community Action Committee, Inc.	Brewster, Culberson, Hudspeth, Jeff Davis, Presidio Non-Profit	Non-Profit	Community Action Agency
Brazos Valley Community Action Programs	Brazos, Burleson, Chambers, Grimes, Leon, Liberty, Madison, Montgomery, Robertson, Walker, Waller, Washington	Non-Profit	Community Action Agency
Cameron & Willacy County Community Projects	Cameron, Willacy	Non-Profit	Community Action Agency
Central Texas Opportunities, Inc.	Brown, Callahan, Coleman, Comanche Eastland, McCulloch, Runnels	Non-Profit	Community Action Agency
Austin, City of	Travis	Public	Community Action Agency

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
Fort Worth, City of	Tarrant	Public	Community Action Agency
Lubbock, City of	Lubbock	Public	Community Action Agency
San Antonio, City of	Bexar	Public	Community Action Agency
Combined Community Action Inc.	Austin, Bastrop, Colorado, Fayette, Lee	Non-Profit	Community Action Agency
Community Action Committee of Victoria Texas	Aransas, Calhoun, DeWitt, Goliad, Gonzales, Jackson, Lavaca, Refugio, Victoria	Non-Profit	Community Action Agency
Community Action Corporation of South Texas	Bee, Brooks, Duval, Jim Wells, Kenedy, Kleberg, San Patricio	Non-Profit	Community Action Agency
Community Action, Inc. of Central Texas	Blanco, Caldwell, Hays	Non-Profit	Community Action Agency
Community Action Social Services & Education, Inc.	Maverick	Non-Profit	Community Action Agency
Community Council of Greater Dallas Inc.	Dallas	Non-Profit	Community Action Agency

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
Community Council of South Central Texas, Inc.	Atascosa, Bandera, Comal, Dimmit, Edwards, Frio, Gillespie, Guadalupe, Karnes, Kendall, Kerr, Kinney, La Salle, Live Oak, McMullen, Medina, Real, Uvalde, Val Verde, Wilson, Zavala	Non-Profit	Community Action Agency
Community Services, Inc.	Anderson, Collin, Denton, Ellis, Henderson, Hunt, Kaufman, Navarro, Rockwall, Van Zandt	Non-Profit	Community Action Agency
Community Services of NE Texas, Inc.	Bowie, Camp, Cass, Delta, Franklin, Hopkins, Lamar, Marion, Morris, Rains, Red River , Titus	Non-Profit	Community Action Agency
Concho Valley Community Action Agency	Coke, Concho, Crockett, Irion, Kimble, Menard, Reagan, Schleicher, Sterling, Sutton, Tom Green	Non-Profit	Community Action Agency
Economic Action Committee of the Gulf Coast	Matagorda	Non-Profit	Community Action Agency
Economic Opportunities Advancement Corporation of Planning Region XI	Bosque, Falls, Freestone, Hill, Limestone, McLennan	Non-Profit	Community Action Agency

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
Galveston Community Action Council, Inc.	Brazoria, Fort Bend, Galveston, Wharton	Non-Profit	Community Action Agency
El Paso Community Action Program Project Bravo	El Paso	Non-Profit	Community Action Agency
Greater East Texas Community Action Program	Angelina, Cherokee, Gregg, Houston, Nacogdoches, Polk, Rusk, San Jacinto, Smith, Trinity, Wood	Non-Profit	Community Action Agency
Gulf Coast Community Services Association, Inc.	Harris	Non-Profit	Community Action Agency
County of Hidalgo	Hidalgo	Public	Community Action Agency
Hill Country Community Action Association, Inc.	Bell, Coryell, Hamilton, Lampasas, Llano, Mason, Milam, Mills, San Saba	Non-Profit	Community Action Agency
Nueces County Community Action Agency	Nueces	Non-Profit	Community Action Agency

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
Panhandle Community Services	Armstrong, Briscoe, Carson, Castro, Childress, Collingsworth, Dallam, Deaf Smith, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, Wheeler	Non-Profit	Community Action Agency
Pecos County Community Action Agency	Crane, Pecos, Terrell	Non-Profit	Community Action Agency
Rolling Plains Management Corporation	Archer, Baylor, Clay, Cottle, Foard, Hardeman, Jack, Montague, Shackelford, Stephens, Taylor, Wichita, Wilbarger, Young	Non-Profit	Community Action Agency
South East Texas Regional Planning Commission	Hardin, Jefferson, Orange	Public	Community Action Agency
South Plains Community Action Association, Inc.	Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lynn, Motley, Terry, Yoakum	Non-Profit	Community Action Agency

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity
South Texas Development Council	Jim Hogg, Starr, Zapata	Public	Community Action Agency
Texas Neighborhood Services	Erath, Hood, Johnson, Palo Pinto, Parker, Somervell, Wise	Non-Profit	Community Action Agency
Texoma Council of Governments	Cooke, Fannin, Grayson	Public	Community Action Agency
Tri-County Community Action, Inc.	Harrison, Jasper, Newton, Panola, Sabine, San Augustine, Shelby, Tyler, Upshur	Non-Profit	Community Action Agency
Webb, County of	Webb	Public	Community Action Agency
West Texas Opportunities, Incorporated	Andrews, Borden, Dawson, Ector, Fisher, Gaines, Glasscock, Howard, Loving, Martin, Midland, Mitchell, Nolan, Reeves, Scurry, Upton, Ward, Winkler	Non-Profit	Community Action Agency
Williamson Burnet County Opportunities	Burnet, Williamson	Non-Profit	Community Action Agency

52. Total number of CSBG eligible entities: 40 **[This will automatically update based on Table 5.1.]**

53. Special Circumstances [Optional]: If the state has any specific circumstances that will affect the allocation, such as a pending de-designation hearing for an eligible entity, please describe below: **[Select one and Narrative, 3000 characters]**

Please note: Additional information should be sent directly to your Program Specialist.

- No, special circumstances were implemented to the CSBG CARES Supplemental allocations.
- Yes, special circumstances were implemented to the CSBG CARES Supplemental pending de-designation(s) for an eligible entity.

Section 6: Organizational Standards for Eligible Entities

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 6 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan), and seeks no additional information.

Section 7: State Use of Funds

Eligible Entity Allocation (90 Percent Funds) [Section 675C(a) of the CSBG Act]

7.1. Formula: Select the method (formula) that best describes the current practice for allocating CSBG funds to eligible entities. **[Check one and narrative where applicable]**

- Historic
- Base + Formula
- Formula Alone
- Formula with Variables
- Hold Harmless + Formula
- Other

Explanation: The Department distributes CSBG funds to CSBG eligible entities based on a distribution formula which incorporates the U.S. Census Bureau Decennial 2010 Census and data from the American Community Survey (ACS) for information on persons at 125% of poverty; a \$50,000 base; a \$150,000 floor; 98% weighted factor for poverty population; and, a 2% weighted factor for the inverse ratio of population density. The formula is applied as follows: each eligible entity receives a base award; then, the weighted factors of poverty population and population density are applied to the state as balance of the 90% funds. If the base and application of the weighted factors do not yield sufficient funds for the minimum floor per entity, then the minimum floor amount is reserved for each of those CSBG eligible entities under the floor figure. Then, the formula is re-applied to the balance of the 90% funds for distributing the remaining funds to the remaining CSBG eligible entities. Following the use of the decennial Census data, then on a biennial basis, the Department will use the most recent ACS 5 year estimate data that is available. To the extent that there are significant reductions in CSBG funds received by the Department, the Department may revise the CSBG distribution formula through a rulemaking process.

7.2. Planned Allocation: Specify the percentage of your CSBG planned allocation that will be funded to eligible entities and “not less than 90 percent funds” as described under Section 675C(a) of the CSBG Act. In the table, provide the planned allocation for each eligible entity receiving funds for the fiscal year(s) covered by this plan. **[Numeric Response, specify dollar amount]**

Planned CSBG 90 Percent Funds	
CSBG Eligible Entity	Funding Amount \$
Aspermont Small Business Development Center, Inc.	150,000.00
Big Bend Community Action Committee, Inc.	176,737.00
Brazos Valley Community Action Programs	1,543,675.00
Cameron and Willacy Counties Community Projects, Inc.	1,277,411.00
Central Texas Opportunities, Inc. dba Cornerstone Community Action Agency	234,808.00
City of Austin, Austin Public Health	1,497,736.00
City of Fort Worth	2,568,182.00
City of Lubbock	550,091.00
City of San Antonio, The Department of Human Services	2,937,062.00
Combined Community Action, Inc.	261,147.00
Community Action Committee of Victoria Texas	382,587.00
Community Action Corporation of South Texas	428,768.00
Community Action Inc. of Central Texas	387,468.00
Community Action Social Services & Education, Inc.	177,417.00
Community Council of Greater Dallas, Inc.	4,420,546.00
Community Council of South Central Texas, Inc.	1,022,105.00
Community Services of Northeast Texas, Inc.	577,738.00
Community Services, Inc.	2,151,190.00
Concho Valley Community Action Agency	296,888.00
Economic Action Committee of the Gulf Coast	150,000.00
Economic Opportunities Advancement Corporation of Planning Region XI	666,536.00
El Paso Community Action Program, Project Bravo, Inc.	1,700,052.00
Galveston County Community Action Council, Inc.	1,347,722.00
Greater East Texas Community Action Program (GETCAP)	1,291,227.00
Gulf Coast Community Services Association	7,092,898.00
Hidalgo County, Texas-County of Hidalgo Community Service Agency	2,422,429.00
Hill Country Community Action Association, Inc.	715,348.00
Nueces County Community Action Agency	602,160.00
Panhandle Community Services	772,826.00

CSBG Eligible Entity	Funding Amount \$
Pecos County Community Action Agency	150,000.00
Rolling Plains Management Corporation	634,460.00
South East Texas Regional Planning Commission	649,330.00
South Plains Community Action Association, Inc.	345,753.00
South Texas Development Council	301,320.00
Texas Neighborhood Services	621,339.00
Texoma Council of Governments	321,262.00
Tri-County Community Action, Inc.	439,723.00
Webb County Community Action Agency	797,591.00
West Texas Opportunities, Inc.	784,821.00
Williamson-Burnet County Opportunities, Inc.	443,703.00
TOTAL	43,292,056

Administrative Funds [Section 675C(b)(2) of the CSBG Act]

- 73. Allocated Funds:** Specify the percentage of your CSBG planned allocation for administrative activities for the FFY(s) covered by this State Plan. **[Numeric response, specify dollar amount]** **Response: 5% \$2,405,113**
- 74. State Staff:** Provide the number of state staff positions to be funded in whole or in part with CSBG CARES funds for the FFY(s) covered by this State Plan. **Numeric Response 5**
- 75. State FTEs:** Provide the number of state Full Time Equivalent (FTEs) to be funded with CSBG CARES funds for the FFY(s) covered by this State Plan? **Numeric Response 2**

Response for 7.3: 4% of the funds that could have been allocated for administrative costs is being used to develop an eviction diversion pilot program in collaboration with one or more court systems in the state of Texas. The eviction diversion pilot program is intended to keep Texans in their homes who have fallen behind on their rent because of the impact of COVID-19 and whose landlords have initiated eviction proceedings. Rental assistance will be in the form of lump sum payments to landlords in exchange for allowing tenants to remain in their homes, forgiving late fees and possibly some portion of the amount due. Tenants assisted will be those not exceeding the CSBG CARES poverty limits. This program will either be administered by TDHCA or by one or more subrecipients.

If this pilot program is not able to be successfully instituted, the 4% of funds will be distributed to recipients of an existing CSBG CARES contract that are most efficiently and promptly using their CSBG CARES program funds.

Use of Remainder/Discretionary Funds [Section 675C(b)(1) of the CSBG Act]

7.6. Remainder/Discretionary Funds Use: Does the state have remainder/discretionary funds, as described in Section 675C(b)(1) of the CSBG Act? Yes No

If yes, provide the allocated percentage and describe the use of the remainder/discretionary funds in the table below. **[Numeric Response, Insert Dollar Amount]**

Use of Remainder/Discretionary Funds		
Remainder/Discretionary Fund Uses (See 675C(b)(1) of the CSBG Act)	Planned \$	Brief Description of Services and/or Activities
7.6a. Training/technical assistance to eligible entities	Enter either a planned \$ for each item listed for the first FFY that this plan covers.	These planned services/activities will be described in State Plan Item 8.1 [Read Only]
7.6b. Coordination of State-operated programs and/or local programs		[Narrative, 5000 characters]
7.6c. Statewide coordination and communication among eligible entities		[Narrative, 5000 characters]
7.6d. Analysis of distribution of CSBG funds to determine if targeting greatest need		[Narrative, 5000 characters]
7.6e. Asset-building programs		[Narrative, 5000 characters]
7.6f. Innovation programs/activities by eligible entities or other neighborhood group		[Narrative, 5000 characters]
7.6g. State charity tax credits		[Narrative, 5000 characters]
7.6h. Other activities [Specify under Column 4]		7.6h. \$ 2,405,113

		building assistance to subrecipients of Emergency Solutions Grant CARES Act and 2020 and 2021 Emergency Solutions Grant funds as a result of COVID-19. The other 3% of discretionary funds are being used for the eviction diversion pilot program described in 7.5. above. If the pilot program is not able to be successfully instituted, this 3% of funds will be distributed to recipients of an existing CSBG CARES contract that are most efficiently and promptly using their CSBG CARES program funds.
Totals	Auto-calculated	

7.7. Summary of State Allocations: Provide a total breakdown of planned amounts. Please note that this table will automatically populate with the totals from 7.2, 7.3, and 7.6 above.

90 Percent Funds	Administrative Funds	Discretionary Funds	Total
Populated from 7.2	Populated from 7.3	Populated from 7.6	Auto-calculated
\$43,292,056	\$2,405,113	\$2,405,113	\$48,102,282

Section 8: State Training and Technical Assistance

8.1. Training and Technical Assistance Plan: Describe the state’s plan for delivering CSBG-funded training and technical assistance to eligible entities under this State Plan by completing the table below. Add a row for each activity: indicate the timeframe; whether it is training, technical assistance or both; and the topic. (CSBG funding used for this activity is referenced under item 7.6a., Use of Remainder/Discretionary Funds.)

Note: This information is associated with State Accountability Measure 3Sc.

Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of “Other”
Dropdown Options: <ul style="list-style-type: none"> • FY2020 • FY2021 • FY2022 • Ongoing/Multiple Years • All Years [Select one dropdown per row]	Toggle Options: <ul style="list-style-type: none"> • Training • Technical Assistance • Both [Select one dropdown per row]	Dropdown Options: <ul style="list-style-type: none"> • Fiscal • Governance/Tripartite Boards • Correcting Significant Deficiencies Among Eligible Entities • Reporting • ROMA • Community Assessment • Strategic Planning • Monitoring • Communication • Technology • Other [Select one dropdown per row]	If other is selected in column 3, describe in this column Any training and technical assistance not covered by the Dropdown Options offered. [Narrative, 500 characters]
FY 2020	<ul style="list-style-type: none"> • Training 	Other	CARES bills (CSBG and LIHEAP), Board Meeting actions passed, State Plans, COVID-19 webpage, reporting requirements/software capacity needed, monitoring via desk-reviews.
FY 2020	<ul style="list-style-type: none"> • Training 	Other	Client Signature Waiver Form
FY 2020	<ul style="list-style-type: none"> • Training 	Other	CSBG Webinar: increase to 200%FPL on all CSBG Contracts, documentation of COVID-related need, Needs Assessment and CAP requirements, IM 157.

Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of "Other"
FY 2020	<ul style="list-style-type: none"> • Training 	Other	Network Webinar pre-release of CARES contracts training: waivers, additional excluded income, income eligibility, procurement, matrix for service delivery, extensions, rules, documentation, requirements and extension to submission dates, reporting, and resources.
FY 2020	<ul style="list-style-type: none"> • Technical Assistance 	Other	Create abbreviated Needs Assessment. Provide resources for obtaining data: <ul style="list-style-type: none"> - Unemployment data - 211 TOP requests for assistance - Links to news articles of area needs - Use of client tracking software to assess local requests, change in demographics - CARES Engagement online needs assessment data - Other non-profit's assessments - Surveys - Staff reports of needs and any changes - Individualized calls for TA on Needs Assessment
FY 2020	<ul style="list-style-type: none"> • Technical Assistance 	Other	Created Ramp-Up Analysis Tool, using agency data of performance/expenditures and additional funds for planning regarding the increased capacity needed for CARES funds.

Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of "Other"
FY 2020, FY 2021, FY 2022	<ul style="list-style-type: none"> • Technical Assistance 	Other	<ul style="list-style-type: none"> - Quarterly analysis of performance to assist with "telling their story" - Check-in calls - Individualized TA regarding procurement, processes, review/approvals of assessments/plans/targets, resources, etc.
Ongoing/Multiple Years	<ul style="list-style-type: none"> • Technical Assistance 	Other	<ul style="list-style-type: none"> - Revisions to plans/targets as COVID-related conditions change - Surveys regarding changes in needs or service delivery - Ramp-Down data analysis for full expenditures and close-out
ADD A ROW function Note: Rows will be able to be added for each additional training			

8.1a. Training and Technical Assistance Budget: The planned budget for the training and technical assistance plan (as indicated in the Remainder/Discretionary Funds table in item 7.6): **Numeric Response, auto-populated \$2,405,113**

[Auto-populated with the budget allocation under 7.6a]

8.1b. Training and Technical Assistance Collaboration: Describe how the state will collaborate with the State Association and other stakeholders in the planning and delivery of training and technical assistance. **[Narrative, 2500 characters]**

TDHCA uses new program requirements, ACSI results, Subrecipient surveys, online T/TA post-training surveys, monitor reports, Organizational Standard assessments, network calls/workgroups, and data analysis of Subrecipient performance and expenditures to determine the T/TA needs for Texas. Once this information is evaluated, TDHCA shares the information with the State Association.

For COVID-19 specifically, TDHCA uses surveys, the information from each individual CAA's CARES Needs Assessment, and input from Subrecipients obtained from calls and virtual meetings to determine flexibilities and/or adjustments that need to be made to T/TA plans. TDHCA disseminates information via email, conference calls, virtual meetings, and a COVID-19 webpage that contains flexibilities/waivers, webinar recordings with slides, FAQs and COVID-related resources.

At the same time TDHCA obtains input from the network on T/TA needs through committees and surveys for input in the Joint State T/TA Plan and for which the State Association's conference committee uses for planning of the state conference. The committee includes a broad spectrum of participants, including executive, management, fiscal, human resource, and programmatic staff from small and large agencies. The State Association receives on-going input from its Executive Committee and/or Board of Directors as needed.

The State Association staff and TDHCA Training Project Manager share information at least monthly, regarding COVID issues.

TDHCA and the State Association analyze all the above and set priorities to determine the best method for delivery of the T/TA. The T/TA is provided via one or more of the following methods: an annual state conference, regional workshops/workgroups, webinars, individualized T/TA, guides/manuals, best practices, and other posted resources.

82. Training and Technical Assistance Organizations: Indicate the types of organizations through which the state plans to provide training and/or technical assistance as described in Item 8.1, and briefly describe their involvement. (Check all that apply.)
[Check all that applies and narrative where applicable]

- CSBG eligible entities (if checked, provide the expected number of CSBG eligible entities to receive funds) **[Numeric response, 0 – 100]**
- Other community-based organizations
- State Community Action Association
- Regional CSBG technical assistance provider(s)
- National technical assistance provider(s) (CAP-Intensive Assessment Team)
- Individual consultant(s) (Procured Vendors to deliver Fiscal Management and Cost Allocation Training and Technical Assistance)
- Tribes and Tribal Organizations
- Other **[Narrative, 1000 characters]**

Section 9: State Linkages and Communication

Note: This section describes activities that the state may support with CSBG remainder/discretionary funds, described under Section 675C(b)(1) of the CSBG Act. The state may indicate planned use of remainder/discretionary funds for linkage/communication activities in Section 7, State Use of Funds, items 7.6(b) and (c).

Note: Only describe additional or unique partnerships related to CSBG CARES funding. Do not re-describe partnerships, linkages, and communications already noted in your regular CSBG State Plan.

9.1. State Linkages and Coordination at the State Level: Describe the linkages and coordination at the state level that the state intends to create or maintain to ensure increased access to CSBG services to low-income people and communities under this State Plan and avoid duplication of services (as required by the assurance under Section 676(b)(5)). Describe additional information as needed. [Check all that apply from the list below and provide a Narrative, 5000 Characters]

State Low Income Home Energy Assistance Program (LIHEAP) office

State Weatherization office

State Temporary Assistance for Needy Families (TANF) office

State Head Start office

State public health office

State education department

State Workforce Innovation and Opportunity Act (WIOA) agency

State budget office

Supplemental Nutrition Assistance Program (SNAP)

State child welfare office

State housing office

Emergency Management

PublicHealth/Disease Control

Other (2% of CSBG-D to the Texas Homeless Network for activities to address homelessness and at-risk of homelessness in the Balance of State Continuum of Care and to provide capacity building assistance to subrecipients of Emergency Solutions Grant CARES Act and 2020 and 2021 Emergency Solutions Grant funds, as a result of COVID-19. 3% of CSBG-D and 4% of CSBG Admin funds will be used for the eviction diversion pilot program designed and coordinated in collaboration with the Texas courts systems.)

92. Communication with Eligible Entities and the State Community Action Association: In the table below, detail how the state intends to communicate with eligible entities, the State Community Action Association, and other partners identified under this State Plan on the topics listed below.

For any topic that is not applicable, select *Not Applicable* under Expected Frequency.

Subject Matter	Expected Frequency	Format	Brief Description of "Other"
State Monitoring Plans and Policies	<ul style="list-style-type: none"> As needed 	Emails, phone calls	
Training and Technical Assistance Plans	<ul style="list-style-type: none"> As needed 	Emails, phone calls	
State Interagency Coordination	<ul style="list-style-type: none"> Biannual 	Meetings/Presentations	
CSBG CARES Funding and Activities	<ul style="list-style-type: none"> Quarterly 	Webinar	

Subject Matter	Expected Frequency	Format	Brief Description of "Other"
State Monitoring Plans and Policies	[Dropdown Options: <ul style="list-style-type: none"> Daily Weekly Twice-Monthly Monthly Quarterly Semi-Annually Annually Biannual Triennial As needed Upon Request Not Applicable] 	[Select All That Apply: <ul style="list-style-type: none"> Newsletters Mailing Meetings/Presentations Blog Email Website Social Media Webinar 1:1 Phone Calls Public Notice Letters/Hard Copies Other] 	If "Other" is selected in Columns 3, describe in this column. [Narrative, 250 characters]
Training and Technical Assistance (T/TA) Plans			
State Interagency Coordination			
CSBG CARES Funding and Activities			
ADD A ROW function Note: Rows will be able to be added for each additional training			

Section 10: Monitoring, Corrective Action, and Fiscal Controls

Monitoring of Eligible Entities (Section 678B(a) of the CSBG Act)

10.1. CSBG CARES Supplemental Monitoring Schedule: In the table below, provide how the state plans to monitor as it specifically relates to the CSBG CARES Supplemental.

The following schedule does not supersede or replace the Monitoring Schedule submitted in your FFY2020 CSBG State Plan as dated in Section 1 of this supplemental state plan.

Note: This information is associated with State Accountability Measure 4Sa(i).

Note: This information is associated with State Accountability Measure 4Sa(i).

CSBG Eligible Entity	CSBG CARES Monitoring Approach	Review Type	Target Year
CSBG Eligible Entity Master List	[Dropdown Options: <ul style="list-style-type: none"> • Integrated into Regular CSBG Full On-Site • CSBGCARES Supplemental Only 	[Dropdown Options: <ul style="list-style-type: none"> • Onsite Review • Desk Review] 	[Dropdown Options: <ul style="list-style-type: none"> • FY2020 • FY2021 • FY2022]
El Paso Community Action program, Project BRAVO, Inc.	CSBG CARES Supplemental Only	Desk Review	FY2020
Panhandle Community Services	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Brazos Valley Community Programs	CSBG CARES Supplemental	Desk Review	FY2021
Rolling Plains Management Corp.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Combined Community Action, Inc.	CSBG CARES Supplemental Only	Desk Review	FY2021

CSBG Eligible Entity	CSBG CARES Monitoring Approach	Review Type	Target Year
Hidalgo County Community Services Agency	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Community Action Social Services & Education	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
South Texas Development Council	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Gulf Coast Community Services Assoc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Austin, City of	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Community Council of Greater Dallas, Inc.	CSBG CARES Supplemental Only	Desk Review	FY2021
Community Action Committee of Victoria Texas	CSBG CARES Supplemental Only	Desk Review	FY2021
Cameron and Willacy Counties Community Projects, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Tri-County Community Action, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021

CSBG Eligible Entity	CSBG CARES Monitoring Approach	Review Type	Target Year
Community Services, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Pecos County Community Action Agency	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Economic Opportunities Advancement Corp of PR XI	CSBG CARES Supplemental Only	Desk Review	FY2021
Texas Neighborhood Services	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Aspermont Small Business Development Center, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
West Texas Opportunities, Inc.	CSBG CARES Supplemental Only	Desk Review	FY2021
Greater East Texas Community Action Program	CSBG CARES Supplemental Only	Desk Review	FY2021
Southeast Texas Regional Planning Commission	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
City of San Antonio	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022

CSBG Eligible Entity	CSBG CARES Monitoring Approach	Review Type	Target Year
Central Texas Opportunities	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Concho Valley Community Action Agency	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Community Action Inc. of Central Texas	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Community Services of Northeast Texas, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
South Plains Community Action Association	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
City of Fort Worth	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
City of Lubbock	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Economic Action Committee of the Gulf Coast	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Galveston County Community Action Council, Inc.	CSBG CARES Supplemental Only	Desk Review	FY2021

CSBG Eligible Entity	CSBG CARES Monitoring Approach	Review Type	Target Year
Webb County Community Action Agency	No Review Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Williamson-Burnet County Opportunities	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2022
Big Bend Community Action Committee, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Community Action Corporation Of South Texas	CSBG CARES Supplemental Only	Desk Review	FY2021
Community Council of South Central Texas	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2020
Hill Country Community Action Association, Inc.	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Nueces County Community Action Agency	Integrated into Regular CSBG Full Onsite	Onsite Review	FY2021
Texoma Council of Governments	CSBG CARES Supplemental Only	Desk Review	FY2021

102. CSBG CARES Supplemental Monitoring Approach: Describe how the state intends to implement monitoring policies and procedures as it relates directly to the CSBG CARES Supplemental. **[Narrative, 3000 characters]**

Response: The State will follow the monitoring policies currently in place to monitor the regular CSBG Eligible Entities, with updated monitoring tools to include CARES specific requirements. If a Full Onsite review for the Regular CSBG contract is not currently planned but the entity has other Department funds scheduled to be monitored the CARES contract will be added to that review. For these reviews the report will be integrated into the same report for all funds

103. CSBG CARES Supplemental Initial Monitoring Reports: If the state monitors for CSBG CARES Supplemental only, provide the number of calendar days by which the state must disseminate an initial CSBG CARES Supplemental monitoring reports to local entities? **[Insert a number from 1 – 100] Response: 30**

Note: This item is associated with State Accountability Measure 4Sa(ii).

Note: If the state is integrating all CSBG CARES Supplemental monitoring into the *Regular CSBG Full On-Site*, the state should include an additional section specific to the CSBG CARES Supplemental monitoring. If the state is conducting a CSBG CARES Supplemental only monitoring, the state must create a CSBG CARES Supplemental monitoring report.

Response: The State will be integrating all CSBG CARES funding into the regular CSBG Full On-Site reviews.

Corrective Action, Termination and Reduction of Funding and Assurance Requirements (Section 678C of the Act)

104. Closing Findings: Is the state adding additional provisions to state monitoring procedures for addressing eligible entity findings/deficiencies, and the documenting closure of findings as it specifically relates to the CSBG CARES Supplemental?

Yes No

10.4a. Closing Findings Procedures: If yes, describe the additional provisions here.
[Narrative, 2500 characters]

Fiscal Controls and Audits and Cooperation Assurance

- 105. Fiscal Controls and Accounting:** As it relates specifically to the CSBG CARES Supplemental, describe any additional provisions to the state’s fiscal controls and accounting procedures that will a) permit preparation of the SF-425 Federal fiscal reports (FFR) and b) permit the tracing of expenditures adequate to ensure funds have been used appropriately under the block grant, as required by Block Grant regulations applicable to CSBG at 45 CFR 96.30(a). **[Narrative, 3000 Characters]**
No additional provisions.
- 106. Single Audit Management Decisions:** As it relates specifically to the CSBG CARES Supplemental, describe any additional provisions to state procedures for issuing management decisions for eligible entity single audits, as required by Block Grant regulations applicable to CSBG at 45 CFR 75.521. **[Narrative, 3000 Characters]**
Response: No additional provisions.
- 107. Assurance on Federal Investigations:** The state will “permit and cooperate with Federal investigations undertaken in accordance with Section 678D” of the CSBG Act, as required by the assurance under Section 676(b)(7) of the CSBG Act. Yes No
Supplemental, describe any additional provisions to the state’s fiscal controls and accounting procedures that will a) permit preparation of the SF-425 Federal fiscal reports (FFR) and b) permit the tracing of expenditures adequate to ensure funds have been used appropriately under the block grant, as required by Block Grant regulations applicable to CSBG at 45 CFR 96.30(a). **[Narrative, 3000 Characters]**
No additional provisions.
- 108. Single Audit Management Decisions:** As it relates specifically to the CSBG CARES Supplemental, describe any additional provisions to state procedures for issuing management decisions for eligible entity single audits, as required by Block Grant regulations applicable to CSBG at 45 CFR 75.521. **[Narrative, 3000 Characters]**
Response: No additional provisions.
- 109. Assurance on Federal Investigations:** The state will “permit and cooperate with Federal investigations undertaken in accordance with Section 678D” of the CSBG Act, as required by the assurance under Section 676(b)(7) of the CSBG Act. Yes No

Section 11: Eligible Entity Tripartite Board

For the purposes of the CARES Act, the Office of Community Services accepts the data submitted by the state in Section 11 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan), and seeks no additional information now or at a later date.

Section 12: Individual and Community Income Eligibility Requirements

12.1. Required Income Eligibility: Provide the income eligibility threshold for services in the state. **[Select one item below and numeric response where applicable.]**

- 200% of the HHS poverty line
- 125% of the HHS poverty line
- X % of the HHS poverty line (fill in the threshold): _____% **[Numeric response]**
- Varies by eligible entity **[Narrative, 5000 characters]**

12.1 a. Describe any changes to the state policy and/or procedures for income eligibility, such as treatment of income and family/household composition as originally described in your FFY2020 CSBG State Plan [as dated in Section 1 of this Plan].

No changes were made to state policy and/or procedures for income eligibility

Yes, there are changes to state policy and/or procedures for income eligibility as described below: **[Narrative:** While 200% of the poverty line will be used for all subrecipients, discretionary grant awardee, Texas Homeless Network, will use the definition of income eligibility as described in 24 CFR Part 5 income as this is the income determination used in the State's homelessness programs. Either the definition of income eligibility for CSBG eligible entities or the definition of income eligibility as described in 24 CFR Part 5 will be used for determining income for the eviction diversion pilot program, to be determined.]

12.1 b. The change in the income eligibility threshold will apply to:

- CSBG CARES Supplemental ONLY
- CSBG CARES Supplemental AND regular CSBG funds

12.2. Income Eligibility for General/Short Term Services: Describe how the state ensures eligible entities generally verify income eligibility for those services with limited in-take procedures (where individual income verification is not possible or practical). An example of these services is emergency food assistance.

- No changes were made to income eligibility verification.
- Yes, there are changes to income eligibility verification as described below: **[Narrative, 5000 Characters]**

12.3. Community-targeted Services: Describe how the state ensures eligible entities' services target and benefit low-income communities for those services that provide a community-wide benefit (e.g., development of community assets/facilities, building partnerships with other organizations).

- No changes were made targeting services that provide community-wide benefit.
- Yes, there are changes to targeting services that provide community-wide benefits as described below: **[Narrative, 5000 Characters]**

Section 13: Results Oriented Management and Accountability (ROMA) System

For the purposes of the CARES Act, the Office of Community Services accepts the information on the ROMA system submitted by the state in Section 13 of the most recently submitted CSBG State Plan (as dated in Section 1 of this Supplemental State Plan), and seeks no additional information on the state's ROMA system.

Please note that the state may describe state discretionary expenditures or additional training and technical assistance related to documentation and performance management for CSBG CARES Supplemental funding in Sections 7 and 8 of this Supplemental State Plan.

Section 14: CSBG Programmatic Assurances and Information Narrative
(Section 676(b) of the CSBG Act)

14.1 Use of Funds Supporting Local Activities

14.1 a. 676(b)(1)(A): Describe how the state will assure “that funds made available through grant or allotment will be used –

- (A) to support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under title IV of the Social Security Act, homeless families and individuals, migrant or seasonal farmworkers, and elderly low-income individuals and families, and a description of how such activities will enable the families and individuals--
 - (i) to remove obstacles and solve problems that block the achievement of self- sufficiency (particularly for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
 - (ii) to secure and retain meaningful employment;
 - (iii) to attain an adequate education with particular attention toward improving literacy skills of the low-income families in the community, which may include family literacy initiatives;
 - (iv) to make better use of available income;
 - (v) to obtain and maintain adequate housing and a suitable living environment;
 - (vi) to obtain emergency assistance through loans, grants, or other means to meet immediate and urgent individual and family needs;
 - (vii) to achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to –
 - (i) document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and
 - (ii) strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

Please select the applicable response:

No change to the standard assurance in the CSBG State Plan.

X Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

The Department requires CSBG eligible entities to submit a CARES Community Action Plan (CAP). The CAP outlines their proposed activities. Staff reviews the CARES CAP and ensures that the activities supported are eligible uses of CSBG CARES funds and meet the noted assurances.

Needs of Youth

14.1b. 676(b)(1)(B) Describe how the state will assure “that funds made available through grant or allotment will be used –

- (B) to address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as--
 - (i) programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and
 - (ii) after-school child care programs.

Please select the applicable response:

No change to the standard assurance in the CSBG State Plan.

Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Coordination of Other Programs

14.1c. 676(b)(1)(C) Describe how the state will assure “that funds made available through grant or allotment will be used –

- (C) to make more effective use of, and to coordinate with, other programs related to the purposes of this subtitle (including state welfare reform efforts)

Please select the applicable response:

No change to the standard assurance in the CSBG State Plan

Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

State Use of Discretionary Funds

14.2. 676(b)(2) Describe “how the state intends to use discretionary funds made available from the remainder of the grant or allotment described in section 675C(b) in accordance with this subtitle, including a description of how the state will support innovative community and neighborhood-based initiatives related to the purposes of this subtitle.”

Note: Any information provide in previous sections of this application will be considered to be a supplementary description of how the state plans to use funds as necessary for this assurance. Additional information does not need to be provided here.

Eligible Entity Service Delivery System

14.3a. 676(b)(3)(A) Describe “the service delivery system, for services provided or coordinated with funds made available through grants made under 675C(a), targeted to low-income individuals and families in communities within the state;

Please select the applicable response:

- X No change to the standard assurance in the CSBG State Plan
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Eligible Entity Linkages – Approach to Filling Service Gaps

14.3b. 676(b)(3)(B) Describe “how linkages will be developed to fill identified gaps in the services, through the provision of information, referrals, case management, and follow-up consultations.”

Note: the state describes this assurance in the State Linkages and Communication section, item 9.3b of the regular CSBG State Plan.

Please select the applicable response:

- X No change to the standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Coordination of Eligible Entity Allocation 90 Percent Funds with Public/Private Resources

14.3c. 676(b)(3)(C) Describe how funds made available through grants made under 675C(a) will be coordinated with other public and private resources.”

Note: the state describes this assurance in the State Linkages and Communication section, item 9.7 of the regular CSBG State Plan.

Please select the applicable response:

- X No change to the standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Eligible Entity Innovative Community and Neighborhood Initiatives, Including Fatherhood/Parental Responsibility

- 14.3d. 676(b)(3)(D)** Describe “how the local entity will use the funds [made available under 675C(a)] to support innovative community and neighborhood-based initiatives related to the purposes of this subtitle, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging parenting.”

Note: The description above is about eligible entity use of 90 percent funds to support these initiatives. States may also support these types of activities at the local level using state remainder/discretionary funds, allowable under Section 675C(b)(1)(F). In this application, the state indicates funds allocated for these activities under item 7.9(f) of the regular CSBG State Plan.

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
 Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
 Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Eligible Entity Emergency Food and Nutrition Services

- 14.4. 676(b)(4)** Describe how the state will assure “that eligible entities in the state will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals.”

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
 Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

State and Eligible Entity Coordination/linkages and Workforce Innovation and Opportunity Act Employment and Training Activities

- 14.5. 676(b)(5)** Describe how the state will assure “that the State and eligible entities in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services, and [describe] how the State and the eligible entities will coordinate the provision of employment and training activities, as defined in section 3 of the Workforce Innovation and Opportunity Act, in the State and in communities with entities providing activities through

statewide and local workforce development systems under such Act.”

Note: The state describes this assurance in the State Linkages and Communication section, items 9.1, 9.2, 9.3a, 9.4, 9.4a, and 9.4b of the regular CSBG State Plan.

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

State Coordination/Linkages and Low-income Home Energy Assistance

- 14.6. 676(b)(6)** Provide “an assurance that the State will ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted in such community.”

Note: The state describes this assurance in the State Linkages and Communication section, items 9.2 and 9.5 of the regular CSBG State Plan.

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Federal Investigations

- 14.7. 676(b)(7)** Provide “an assurance that the State will permit and cooperate with federal investigations undertaken in accordance with section 678D.”

Note: the state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.13 of the regular CSBG State plan.

Please select the applicable response:

- No change to the standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Funding Reduction or Termination (Not Applicable to CSBG CARES Supplemental Funds)

- 14.8. 676(b)(8)** Provide “an assurance that any eligible entity in the State that received funding in the previous fiscal year through a community services block grant made under this subtitle will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in section 678C(b).”

Note: the state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.7 of the regular CSBG State Plan. This assurance is not applicable to the disaster supplemental because funds must be distributed to eligible entities based on needs directly related to the disaster.

- Check to acknowledge that Section 676(b)(8) is not applicable to the CSBG CARES Supplemental and that funds must be distributed based on the CSBG formula.

Coordination with Faith-based Organizations, Charitable Groups, Community Organizations

- 14.9. 676(b)(9)** Describe how the state will assure “that the State and eligible entities in the state will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations.”

Note: the state describes this assurance in the State Linkages and Communication section, item 9.6 of the regular CSBG State Plan.

Please select the applicable response:

- No change to standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Eligible Entity Tripartite Board Representation

- 14.10. 676(b)(10)** Describe how “the State will require each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation.”

Note: the state describes this assurance in the Eligible Entity Tripartite Board in Section 11.3 of the regular CSBG State Plan.

Please select the applicable response:

- No change to standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Eligible Entity Community Action Plans and Community Needs Assessments

- 14.11. 676(b)(11)** Provide “an assurance that the State will secure from each eligible entity in the State, as a condition to receipt of funding by the entity through a community services block grant made under this subtitle for a program, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-

needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs.”

Please select the applicable response:

- No change to standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**
Community Action Plans and CSBG CARES Needs Assessments were required to be submitted by each CSBG Eligible Entity for review and approval by staff.

Note: The state describes this assurance in the ROMA section, items 13.5 and 13.6. A

State and Eligible Entity Performance Measurement: ROMA or Alternate system

- 14.12. 676(b)(12)** Provide “an assurance that the State and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to section 678E(b), or an alternative system for measuring performance and results that meets the requirements of that section, and [describe] outcome measures to be used to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization.”

Note: The state describes this assurance in the ROMA section, items 13.1, 13.2, 13.3, and 13.4 of the regular CSBG State Plan.

Please select the applicable response:

- No change to standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

Validation for CSBG Eligible Entity Programmatic Narrative Sections

- 14.13. 676(b)(13)** Provide “information describing how the State will carry out the assurances described in this section.”

Note: The state provides information for each of the assurances directly in section 14 or in corresponding items throughout the State Plan.

Please select the applicable response:

- No change to standard assurance in the CSBG State Plan.
- Adaptations to the standard assurance in the CSBG State Plan for the CSBG CARES Supplemental are described below: **[Narrative, 3000 characters]**

By checking this box and signing the Cover Sheet SF-424M, the state CSBG authorized official is certifying the assurances set out above.

Section 15: Federal Certifications

The box after each certification must be checked by the State CSBG authorized official.

15.1. Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

- By checking this box, the state CSBG authorized official is providing the certification set out above.

15.2. Drug-Free Workplace Requirements

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

- (1) By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
- (2) The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- (3) For grantees other than individuals, Alternate I applies.
- (4) For grantees who are individuals, Alternate II applies.
- (5) Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- (6) Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
- (7) If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
- (8) Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of

sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about - -
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such

notices. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code) [**Narrative, 2500 characters**]

Texas Department of Housing and Community Affairs

221 East 11th Street

Austin, Travis County, Texas 78701-2410

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

By checking this box, the state CSBG authorized official is providing the certification set out above.

15.3. Debarment

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

Instructions for Certification

- (1) By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- (2) The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- (3) The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
- (4) The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (5) The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- (6) The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- (7) The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or

agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

- (8) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (9) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (10) Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - -

Primary Covered Transactions

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in

this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

- (1) By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- (2) The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- (3) The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
- (4) The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- (5) The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- (6) The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- (7) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

- (8) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (9) Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

By checking this box, the state CSBG authorized official is providing the certification set out above.

15.4. Environmental Tobacco Smoke

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

By checking this box, the state CSBG authorized official is providing the certification set out above.